# DATA ACCESS MINISERIES



FY25 Session #: 1

Requesting Research Data Access Using DART

October 2, 2024

Tim Trautman, BSD

DART and VADDRT Program Manager







## DATA ACCESS CYBERSEMINAR MINISERIES

Informational seminars to help VA researchers access VA databases.

## **Topics Include:**

VA Data Access Requirements for Research

Requesting Research Data Access Using DART

VA Data Access Preparatory to Research Requirements

Preparatory to Research Project

VA Data Access Requirements for Operations







## UPCOMING DATA ACCESS SESSIONS

All session below will be held from 3:00pm-4:00pm ET

Date	Topic
10/10/24	VA Data Access Preparatory to Research Requirements
11/6/24	Preparatory to Research Project
11/14/24	VA Data Access Requirements for Operations

Visit the

VIReC Cyberseminars

page for more
information & registration
links.

Visit <u>HSR's VIReC</u>

<u>Cyberseminar Archive</u>

page to watch previous sessions.





Where can I download a copy of the slides?



### **SAMPLE EMAIL**

A Practical Approach to Working with VA-Purchased Community
Care Data

Thursday, October 13, 2022 2:00 PM | (UTC-04:00) Eastern Time (US & Canada) | 1 hr

Please download today's slides
Please click here for today's live captions

Join webinar

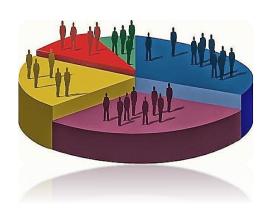
More ways to join:

Join from the webinar link

https://veteransaffairs.webex.com/veteransaffairs/j.php?

# Poll #1:

What is your **primary role** in projects using VA data?



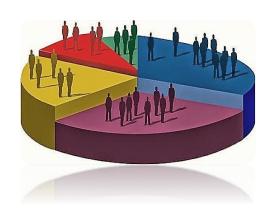
- Investigator, PI, Co-I
- Statistician, methodologist, biostatistician
- Data manager, analyst, or programmer
- Project coordinator
- Other please describe via the chat function





# Poll #2:

How many years of experience working with VA data?



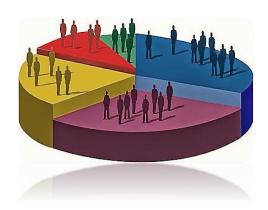
- None I'm brand new to this!
- One year or less
- More than 1, less than 3 years
- At least 3, less than 7 years
- At least 7, less than 10 years
- 10 years or more





# Poll #3:

What is the main reason you are attending today?



- I want to request data for Research
- I want to request data for Preparatory to Research
- I want to learn more about using DART





# DATA ACCESS MINISERIES



FY25 Session #: 1

Requesting Research Data Access Using DART

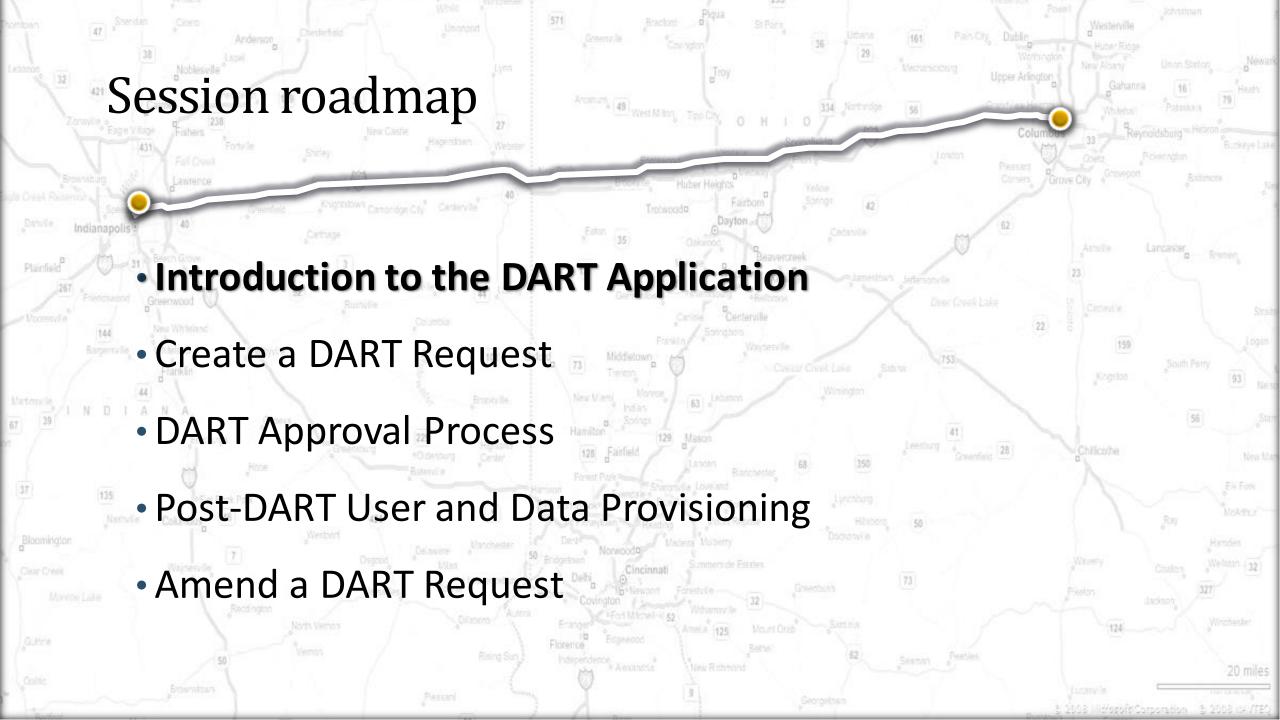
October 2, 2024

Tim Trautman, BSD

DART and VADDRT Program Manager







# Introduction to the DART Application



- DART is VINCI's Data Access Request Tracker online application used by National Data Systems (NDS), Million Veteran Program (MVP), and other data stewards to approve access to various data sources including CDW/VINCI/MVP data
- Collects study information and documentation via a 4-screen "Wizard"
- Determines required documentation based on Wizard entries
- Distributes the request to approving authorities who approve

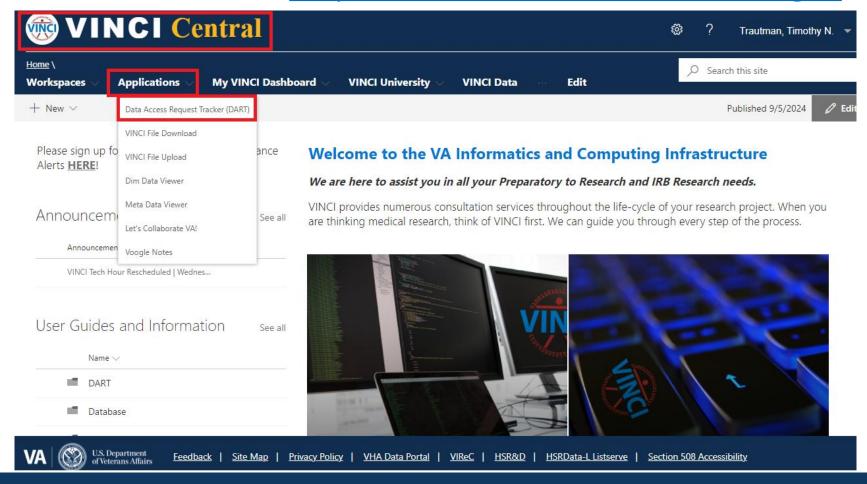




# Accessing the DART Application



Go To VINCI Central at <a href="https://vincicentral.vinci.med.va.gov">https://vincicentral.vinci.med.va.gov</a>









Bookmark <a href="https://dart.vha.med.va.gov/vinci\_dart\_client/dart9/dashboard.html">https://dart.vha.med.va.gov/vinci\_dart\_client/dart9/dashboard.html</a>





09/29/2024

NDS

0%



2024-04-909-D

PTSD in Veterans

(TEST)

Research Data Access

Trautman, Timothy N.

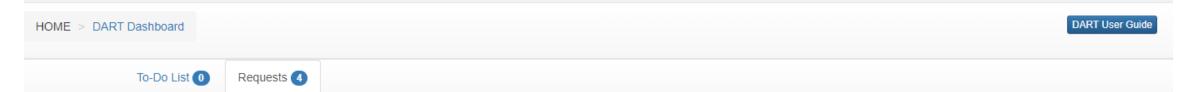
(UofU)



Actions 

 ✓

Search:



### Requests Create a New Request

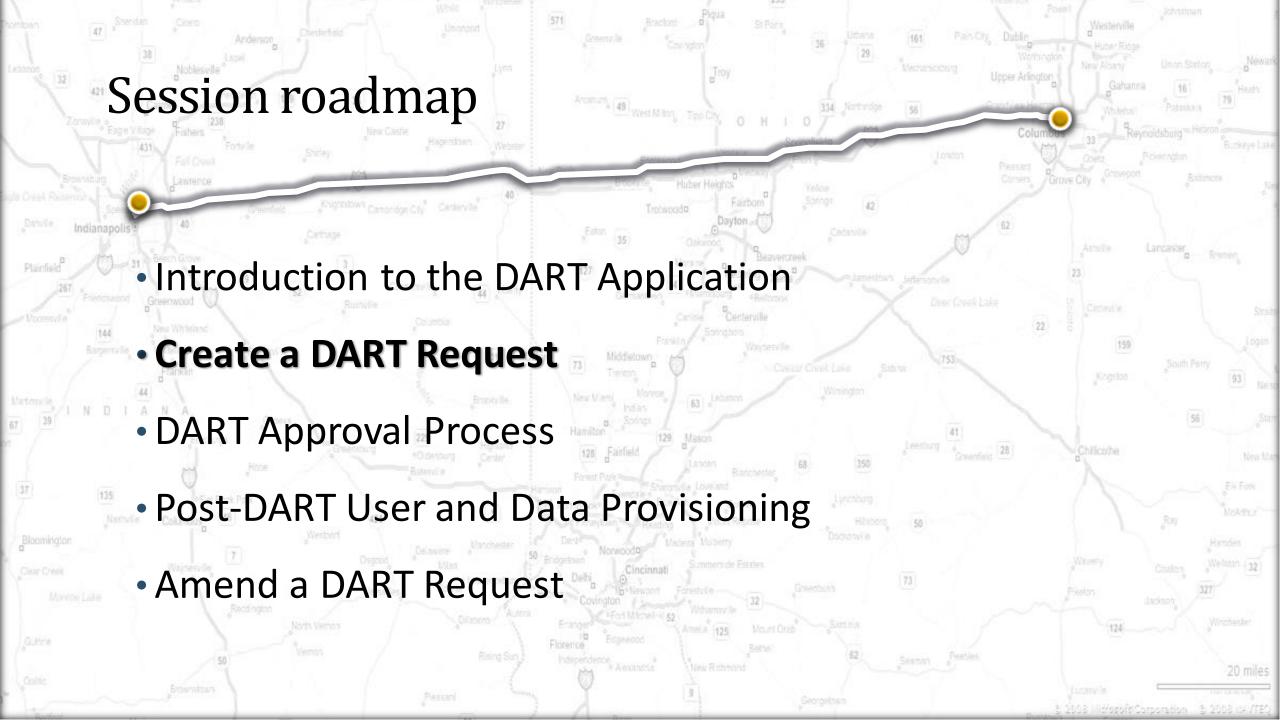
Filter All Preparatory to Research Research

#### Showing 1 to 4 of 4

Tracking #	Ŀ	Name 11	Type ↓↑	Contact 1	Submitted 1	Status	s ļ†	Actio	
2024-03-901-D-A01		3-29-24 Test 1	Research Data Access	Larimer, Grant G. (B3 Group, Inc.)			NOT SUBMITTED		
2024-03-902-D		3-29-24 Test 2	Preparatory to Research Access	Larimer, Grant G. (B3 Group, Inc.)	03/29/2024	NDS	APPROVED	1	Actions ▼
2024-04-902-D		Linda Test Multi-site process	Research Data Access	Jannotta, Angela M.			NOT SUBMITTED		ÿ View
2024-04-909-D		PTSD in Veterans (TEST)	Research Data Access	Trautman, Timothy N. (UofU)	09/29/2024	NDS	0%		S Amend







09/29/2024

NDS

0%



2024-04-909-D

process

(TEST)

PTSD in Veterans

Research Data Access

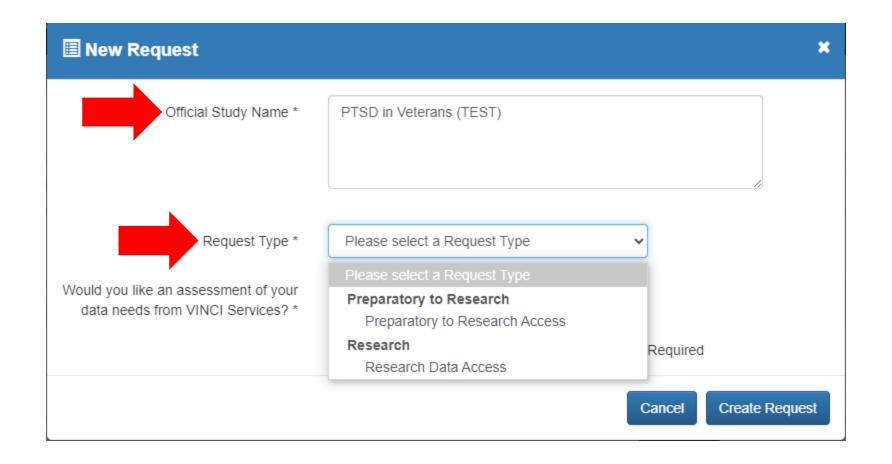
Trautman, Timothy N.

(UofU)



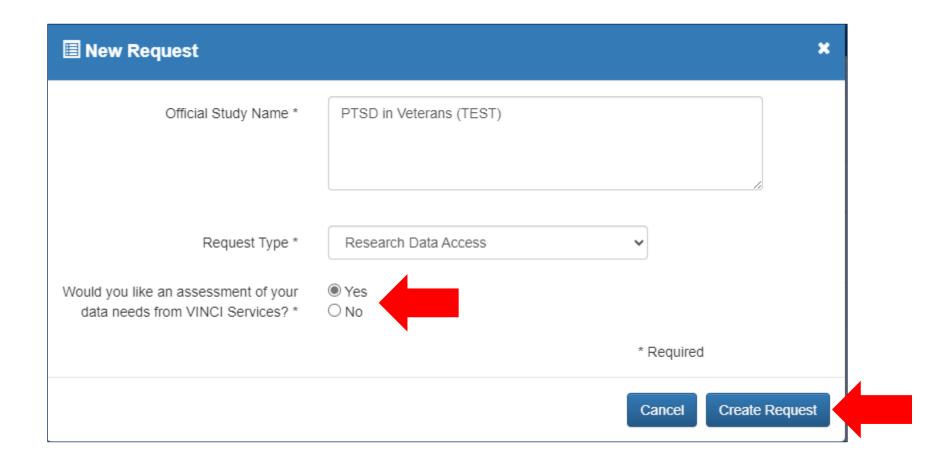
Actions 

 ✓













### DART Test .NET DART 2023-09-003-D has been Initiated - Please Read Before Submitting This Request - Do Not Reply





Thu 9/7/2023 3:37 PM

### Greetings DART User,

You are receiving this email because you initiated a new Dart Request Request Tracker (DART) request:



DART Tracking Number: 2023-09-003-D

Study/Protocol Name: Creating a DART Request for IRB Research

It is important that you read the attached PDF document in order for you to understand the process. Please note that only IRB approved research and Preparatory to Research requests should be submitted through DART. Operational access is requested through other processes.

The attached document provides links to helpful resources, considerations to take into account when requesting data access, and what to expect. The <u>DART User Guide</u> provides complete details about how to use DART. If you need assistance with completing the DART request or have questions, please contact the VINCI Concierge Service at <u>VINCI@va.gov</u> or <u>NDS.ResearchAccessRequests@va.gov</u>. Do not reply to this email as it is system generated.

#### **Data Needs Assessment**

You declined assistance from VINCI Services on your cohort development. If you change your mind and decide you would like assistance, please contact VINCIServices@va.gov.

Remember, please take time to read the **DART User Guide**.

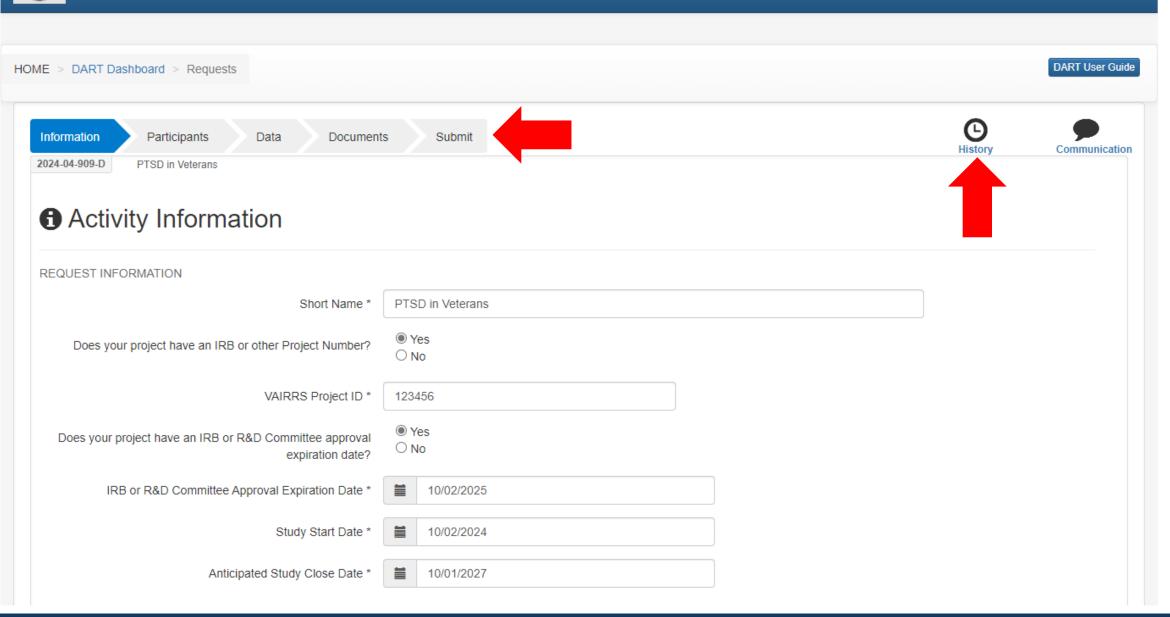
Do not reply to this email as it is system generated.

Sincerely,

The DART Team

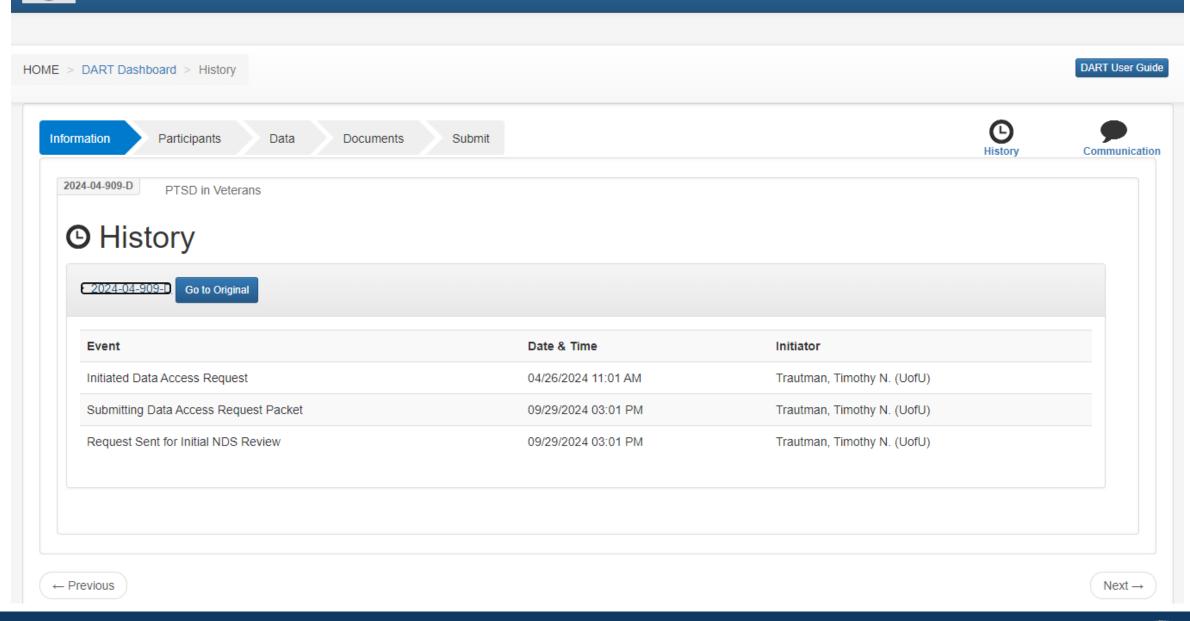




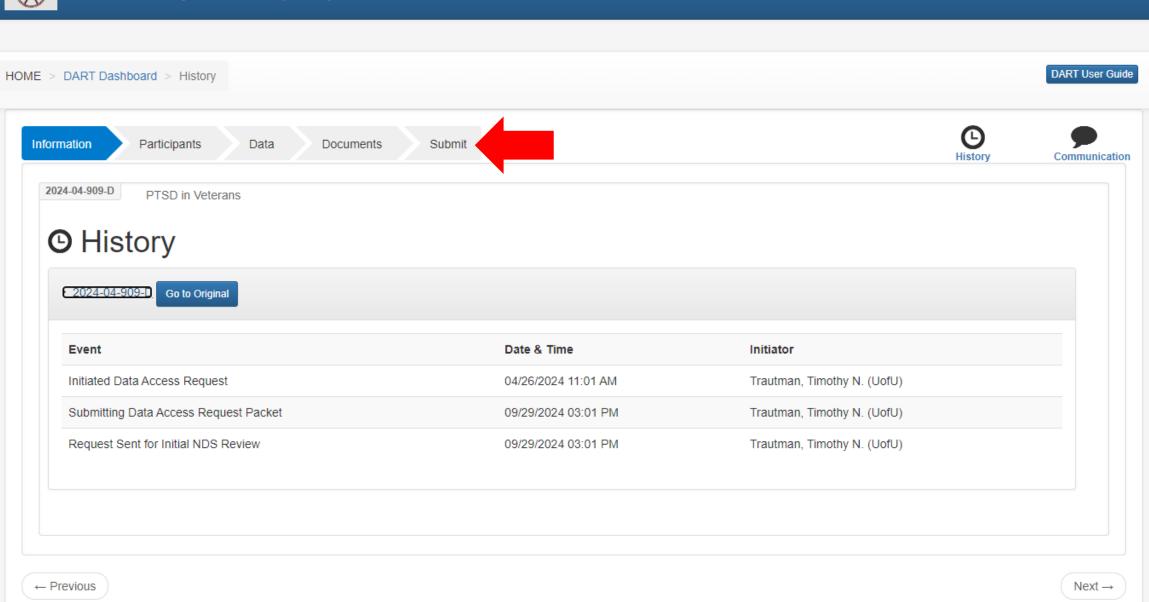


















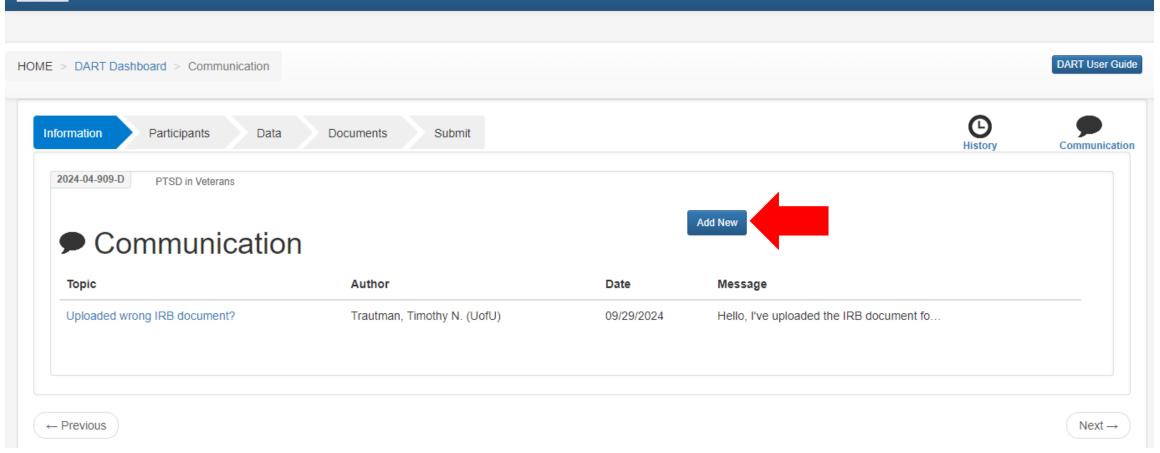


Study Start Date \*

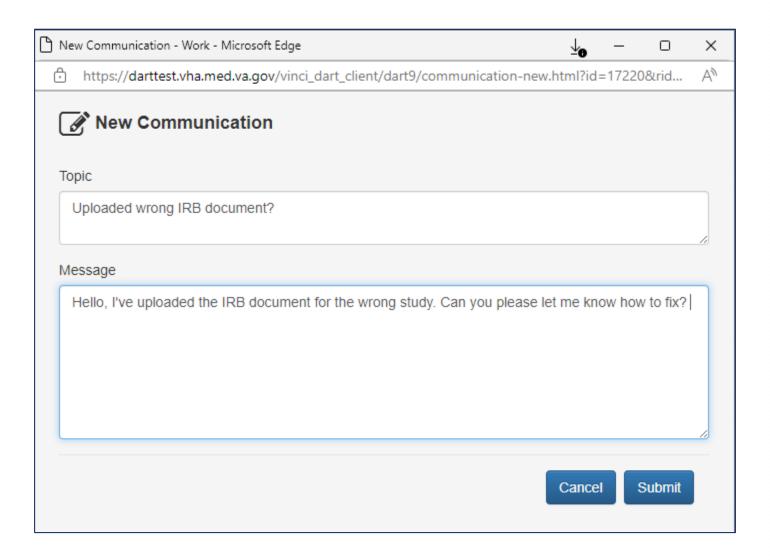
Anticipated Study Close Date \*

10/02/2024

10/01/2027









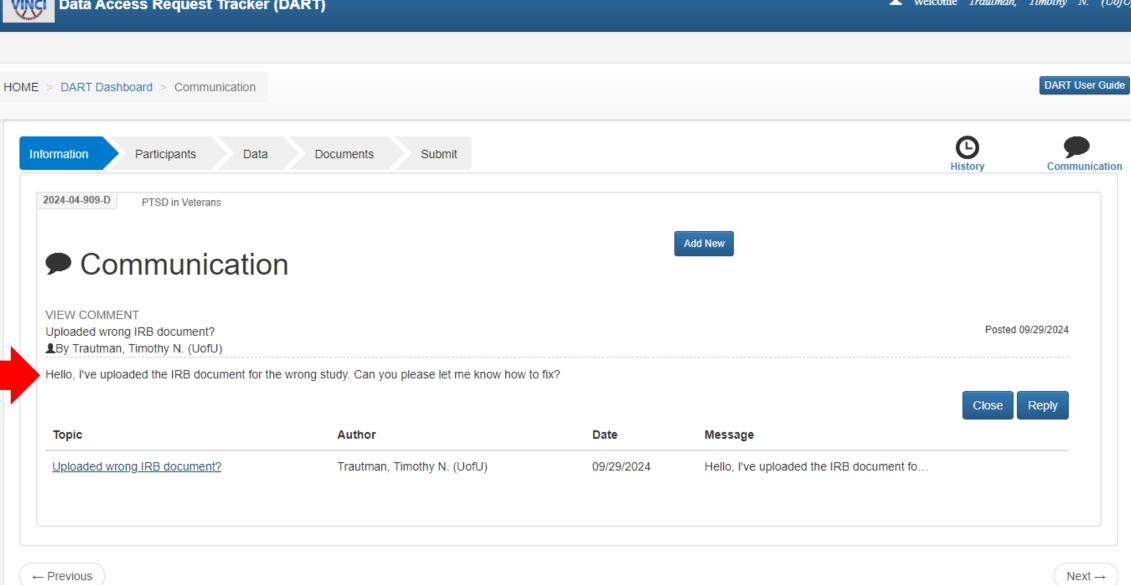




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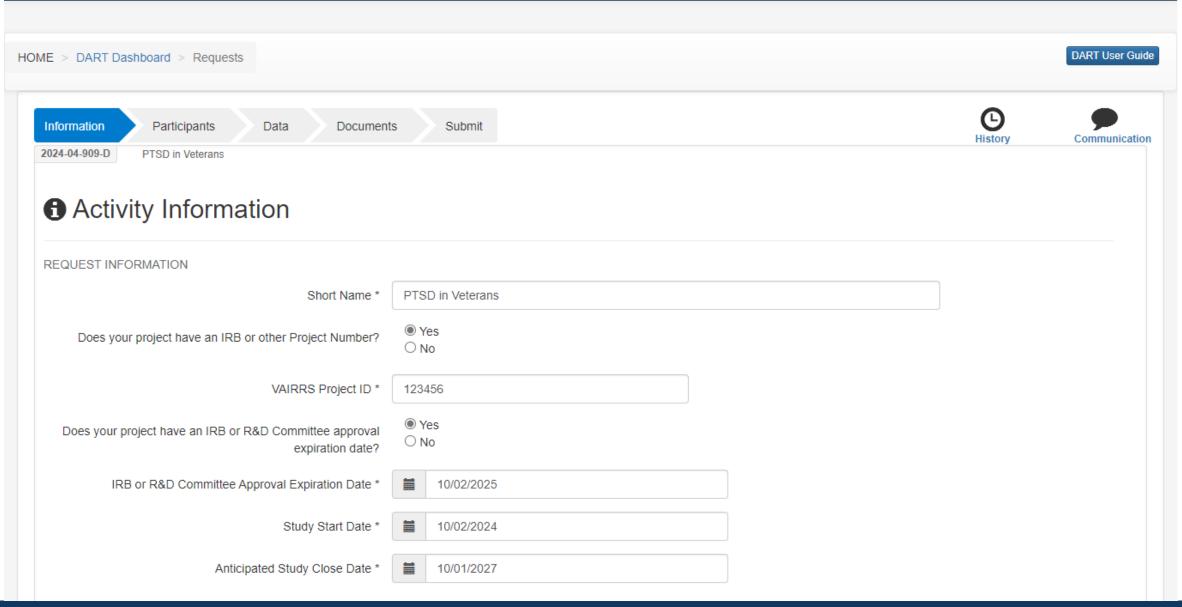


Next →













Anticipated Study Close Date *  Exemptions & Informed Consent *  Has your study been determined to be an exempt human subjects study?  Does your study require subjects to give informed consent orally or in writing - or a HIPAA authorization in writing?  1b. Does your study have IRB approval for a full Waiver of Informed Consent?  HIPAA Authorization *	
Exemptions & Informed Consent *  Has your study been determined to be an exempt human subjects study?  Does your study require subjects to give informed consent orally or in writing - or a HIPAA authorization in writing?  1b. Does your study have IRB approval for a full Waiver of Informed Consent?  Yes  No	
Has your study been determined to be an exempt human subjects study?  Does your study require subjects to give informed consent orally or in writing - or a HIPAA authorization in writing?  1b. Does your study have IRB approval for a full Waiver of Informed Consent?	
oes your study require subjects to give informed consent orally or in writing - or a HIPAA authorization in writing?  Does your study have IRB approval for a full Waiver of Informed Consent?	
orally or in writing - or a HIPAA authorization in writing?  Does your study have IRB approval for a full Waiver of Informed Consent?  O No  O Yes  No	
Informed Consent?	
HIPAA Authorization *	
your study have IRB or Privacy Board approval for a  Waiver of HIPAA authorization?	
Waiver of HIPAA authorization only for recruiting or determining subject's eligibility?   ○ Yes  No	
he Waiver of HIPAA authorization for the entire study?	

Study Start Date *		10/02/2024					
Anticipated Study Close Date *		10/01/2027					
Exemptions & Informed Consent *							
Has your study been determined to be an exempt human	○ Ye	he an avament human subjects study?	Yes		No	No	
subjects study?  1a. Does your study require subjects to give informed consent orally or in writing - or a HIPAA authorization in writing?	<ul><li>Ye</li><li>○ No</li></ul>	1a. Does your study require subjects to give informed consent orally or in	N/A	Co	leed Sample Informed onsent and HIPAA Authorization.	No	
1b. Does your study have IRB approval for a full Waiver of Informed Consent?	○ Ye No	1b. Does your study have IRB	N/A	No or Yes		No or Yes	
HIPAA Authorization *							
Does your study have IRB or Privacy Board approval for a     Waiver of HIPAA authorization?	Ye     No	i i i a a a a a a a a a a a a a a a a a	Yes - Need of HIF Compl Authoriz	PAA- liant	<b>Yes</b> - Need <i>Waiver of HIPAA- Compliant Authorization.</i>	No - Need Sample Informed Consent and HIPAA Authorization.	
2a. Is the Waiver of HIPAA authorization only for recruiting or determining subject's eligibility?	○ Ye	authorization only for recruiting or determining subject's eligibility?	Yes - Need Sample Informed Consent and HIPAA Authorization.		No	N/A	
2b. Is the Waiver of HIPAA authorization for the entire study?	Ye     No		No No		Yes	N/A	

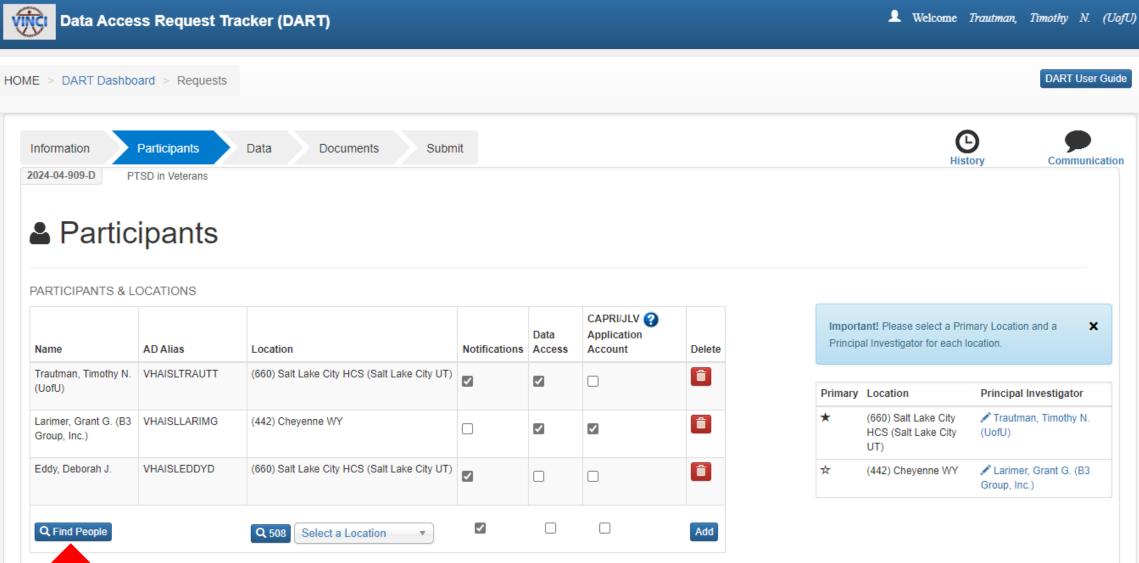
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Next →

Validate

Save Draft



Validate

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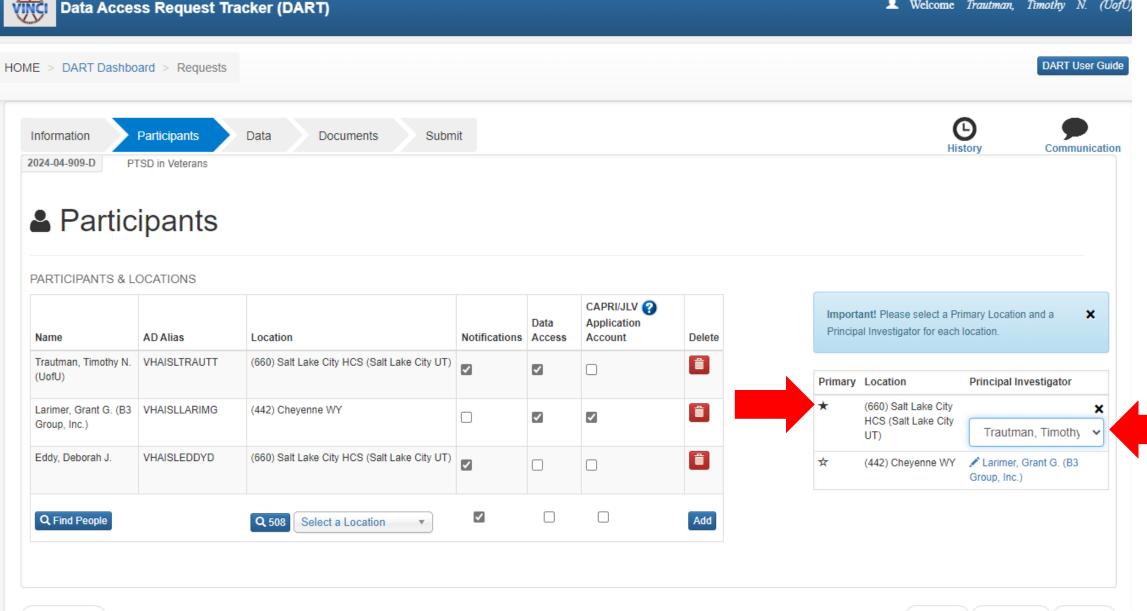
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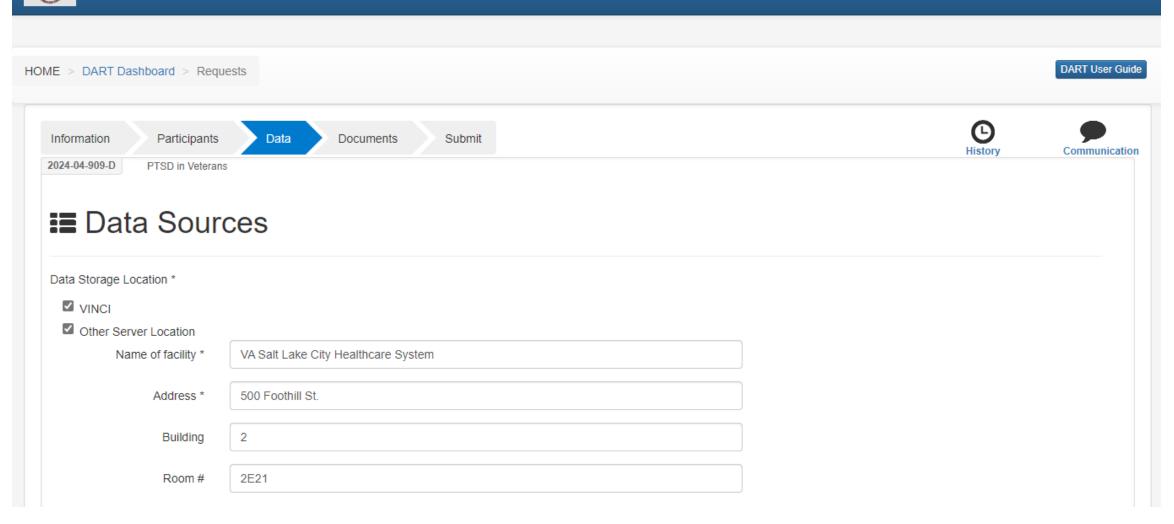




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Will data be transferred external to the VHA? \*

NDS cannot approve a research request that would include disclosing data requested through DART to entities outside of VHA unless the disclosure complies with VHA Privacy Office and Office of Research and Development (ORD) policy. ORD policy requires VHA research investigators to apply data use agreements (DUAs) when transferring research data external to VHA.

For example:

The HIPAA Privacy Rule permits the transfer of Limited Data Sets for research purposes, without obtaining an Authorization or documentation of Waiver of Authorization with a DUA.

If "finder" files are to be sent out to Federal or state agencies for the purpose of a Federal or state agency disclosing information on the individual back to VA per SOR 34VA12, a DUA between the PI and the external agency must be submitted with the DART application.

○ No Yes (DUA is required)	
Name of Company/Agency*	The Healthy Place LLC
Street *	500 Foothill Dr.
City *	Salt Lake City
State *	UT
Zip Code *	84113

Data Access Systems

SAS Grid

IDENTIFIERS (More about identifiers)

Real SSN

☐ Scrambled SSN

☐ Identifiable data but no real or scrambled SSNs





## Data Access Request Tracker (DART)

HEALTHCARE OPERATIONS GENERATED DATA SOURCES
☐ ADUSH Enrollment Files
☐ Bereaved Family Survey
CAPRI & Joint Legacy Viewer (JLV) - Individuals needing system access are selected on the Participants page and require Real SSN approval
☐ Care Assessment Need Score (CAN Score) - Scrambled SSN approval required
☐ Clinical Assessment Reporting and Tracking (CART) CV (Disclaimer)
Corporate Data Warehouse (CDW)
✓ Production Domains
☐ Raw Domains
□ VA Millennium
☐ Text Integration Utility (TIU) Text Notes
□ COVID-19: Shared Data Resource
☐ Death Ascertainment File (DAF) – CDW SQL tables
□ DoD-VA Infrastructure for Clinical Intelligence (DAVINCI)
Geriatrics and Extended Care (GEC)
☐ GECDAC Health Care Risk Measures
✓ Home Based Primary Care (HBPC) Masterfile
□ RAI/MDS
☐ Health Economics Resource Center (HERC) Cost Data - Includes Average Cost Data, V21 and Nosos Risk Scores, and Discharge Data Sets with Subtotals
☐ Homeless Veterans Registry (Disclaimer)
□ IVC Consolidated Data Sets - Real SSN approval required
Lung Cancer Screening Demonstration Project (LCSDP) Coholo
Managerial Cost Accounting (MCA)
☐ National Data Extracts (NDEs)
☐ Web Reports - Real SSN approval required
☐ Medical SAS Files - CDW SQL tables





Validate

Save Draft

☐ SCI/D Historical Consolidated Data - Real SSN approval required	
☐ Traumatic Brain Injury Screening and Evaluation Data	
☐ United States Veterans Eligibility Trends and Statistics (USVETS) – Real SSN approval required	
VA Surgical Quality Improvement Program (VASQIP) - Real SSN or Scrambled SSN only	
☐ Cardiac variables	
☐ Non-cardiac variables	
□ VetsNet	
VINCI Natural Language Processing (NLP) Output	
☐ Ejection Fraction	
□ VINCI Prostate Cancer Data Core	
□ VSSC Self-Service Web-Based Products – Real SSN approval required	
RESEARCH GENERATED DATA SOURCES	
Epidemiology, Immunology and Clinical Characteristics of COVID-19 (EPIC3)	
☐ InFLUenza Patient-Reported Outcome, aka FLU-PRO Questionnaire (FluPro)	
☐ Long Term Symptoms Questionnaire (LongTermSymptoms, LongTermSymptoms_COVIDTests)	
☐ MVP COVID-19 Questionnaire (MVPCOVID19)	
☐ Participant Info (ParticUpload)	
☐ REDCap Data Dictionary (REDCapDataDictionary)	
☐ Vaccines Questionnaire (Vaccines)	
☐ Million Veteran Program (MVP) - Available only to MVP approved studies	
☐ Million Veteran Program (MVP) plus Death Ascertainment File - Available only to MVP approved studies	
	* Required

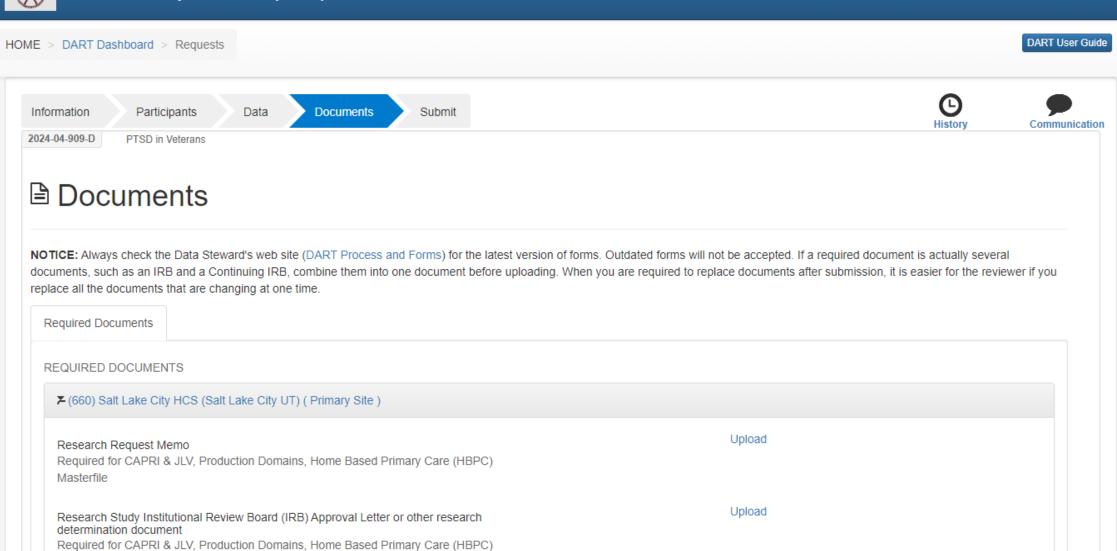


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Masterfile



38



#### REQUIRED DOCUMENTS

#### ➤ (660) Salt Lake City HCS (Salt Lake City UT) ( Primary Site ) Upload Research Request Memo Required for CAPRI & JLV, Production Domains, Home Based Primary Care (HBPC) Masterfile Upload Research Study Institutional Review Board (IRB) Approval Letter or other research determination document Required for CAPRI & JLV, Production Domains, Home Based Primary Care (HBPC) Masterfile Upload Sample Informed Consent and HIPAA Authorization Required for CAPRI & JLV, Production Domains, Home Based Primary Care (HBPC) Masterfile

Upload

Upload

Upload

Upload

Research and Development (RD) Committee Approval Letter Required for CAPRI & JLV, Production Domains, Home Based Primary Care (HBPC) Masterfile

Data Use Agreement Required for CAPRI & JLV, Production Domains, Home Based Primary Care (HBPC) Masterfile

IRB Approval of Waiver of HIPAA-Compliant Authorization Required for CAPRI & JLV, Production Domains, Home Based Primary Care (HBPC) Masterfile

Research Protocol Required for CAPRI & JLV, Production Domains, Home Based Primary Care (HBPC) Masterfile





CDW-Domain Checklist

Required for Production Domains

Real SSN Access Request

Required for CAPRI & JLV, Production Domains, Home Based Primary Care (HBPC) Masterfile

Program Office Data Access Approval: GEC HBPC Data Required for Home Based Primary Care (HBPC) Masterfile



#### ➤ (442) Cheyenne WY

Research Study Institutional Review Board (IRB) Approval Letter or other research determination document

Required for CAPRI & JLV, Production Domains, Home Based Primary Care (HBPC)

Masterfile

Research and Development (RD) Committee Approval Letter

Required for CAPRI & JLV, Production Domains, Home Based Primary Care (HBPC)

Masterfile

IRB Approval of Waiver of HIPAA-Compliant Authorization

Required for CAPRI & JLV, Production Domains, Home Based Primary Care (HBPC)

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Upload

Upload

Upload



EHR Access Request Form Required for CAPRI & JLV

Upload

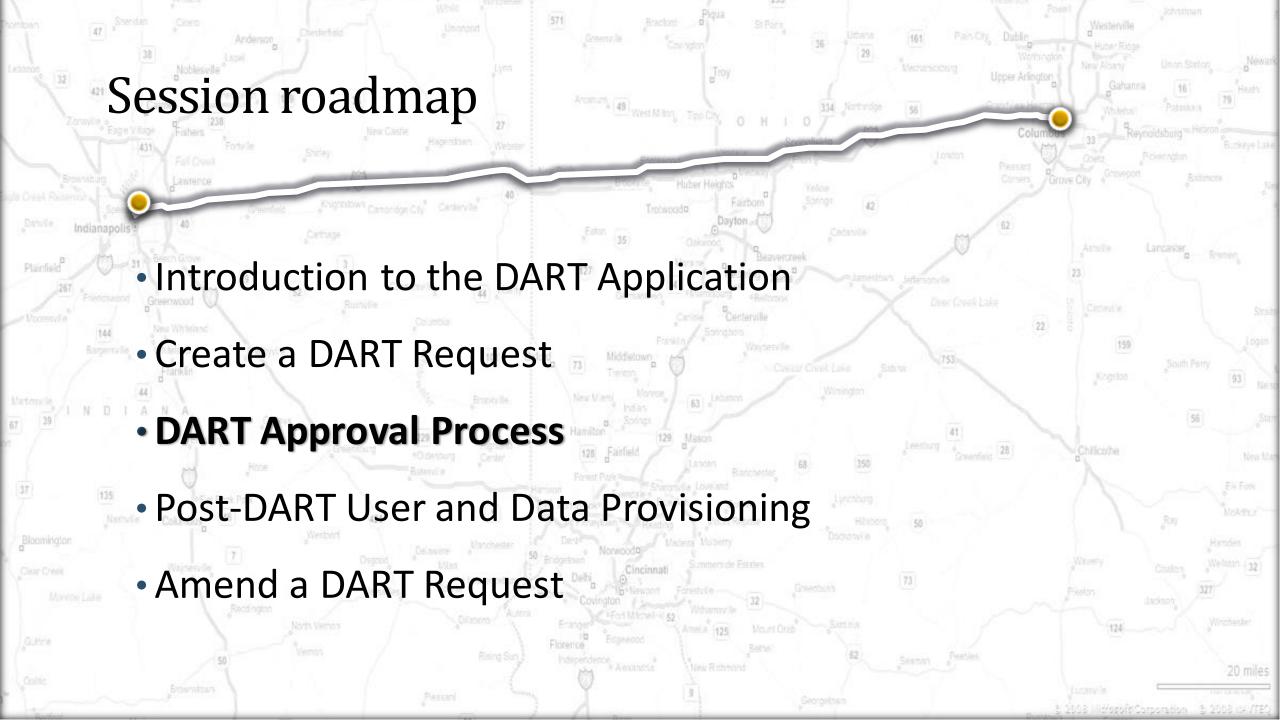


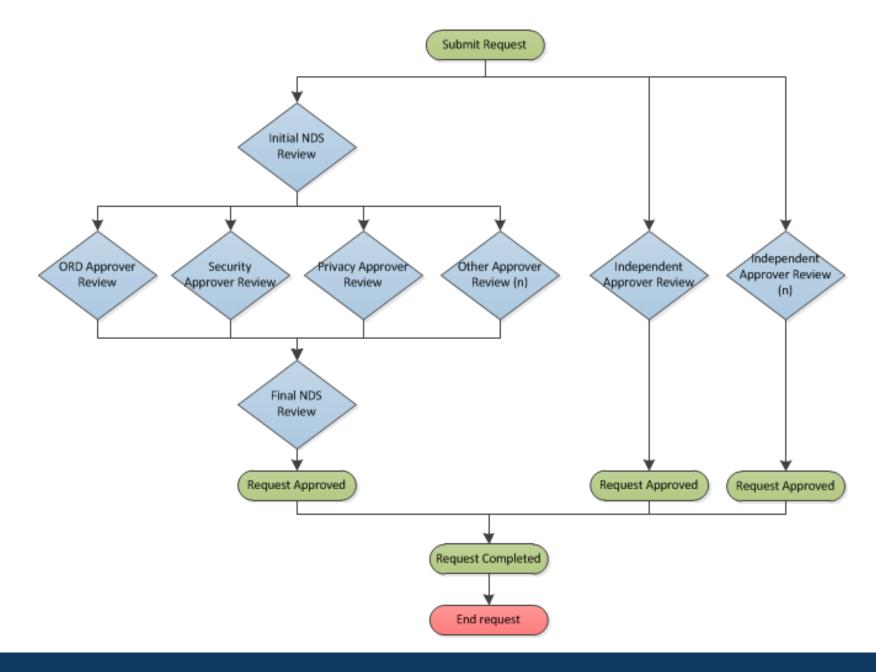




Submit Request

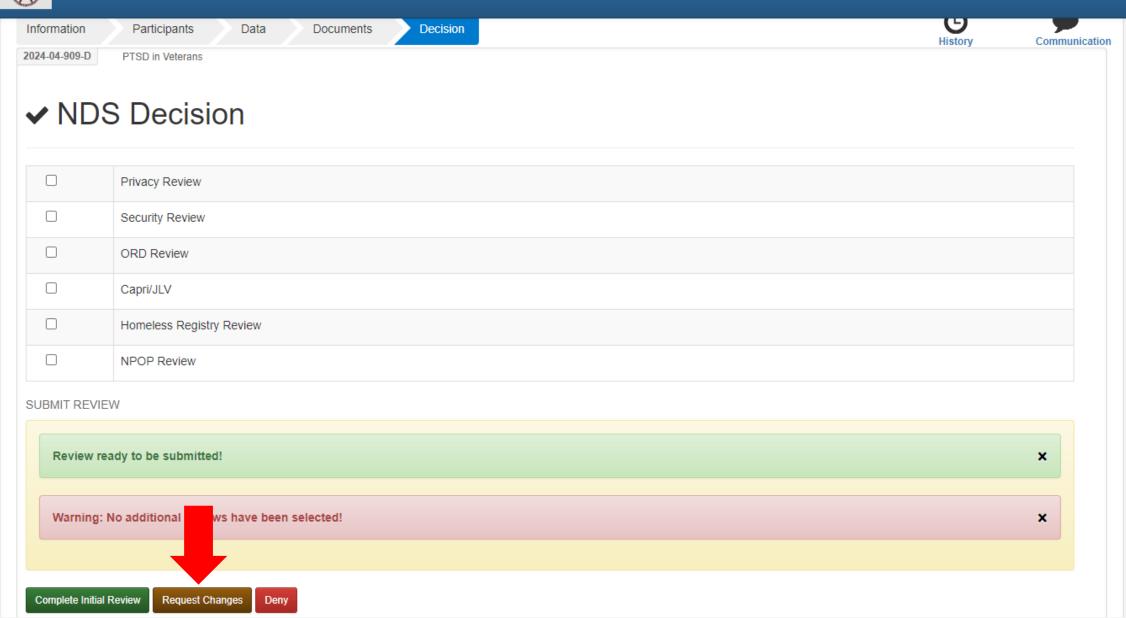
















Subject: 2024-04-909-D - Trautman - Research Data Access Change Requested by NDS - Changes Requested

DART Tracking Number: 2024-04-909-D

Study Name: PTSD in Veterans

Principal Investigator: Trautman

Date Change Requested: Mon Sep 30 15:09:00 CDT 2024

Following its review, NDS has requested a change or additional information for this DART request. Please review the message below and use DART to take the action requested. If you have questions you may respond to the reviewer through the DART Communications function. The current status of this request is 'Change Request.' Once you have taken the action requested be sure to resubmit the request through DART so the request status will be updated.

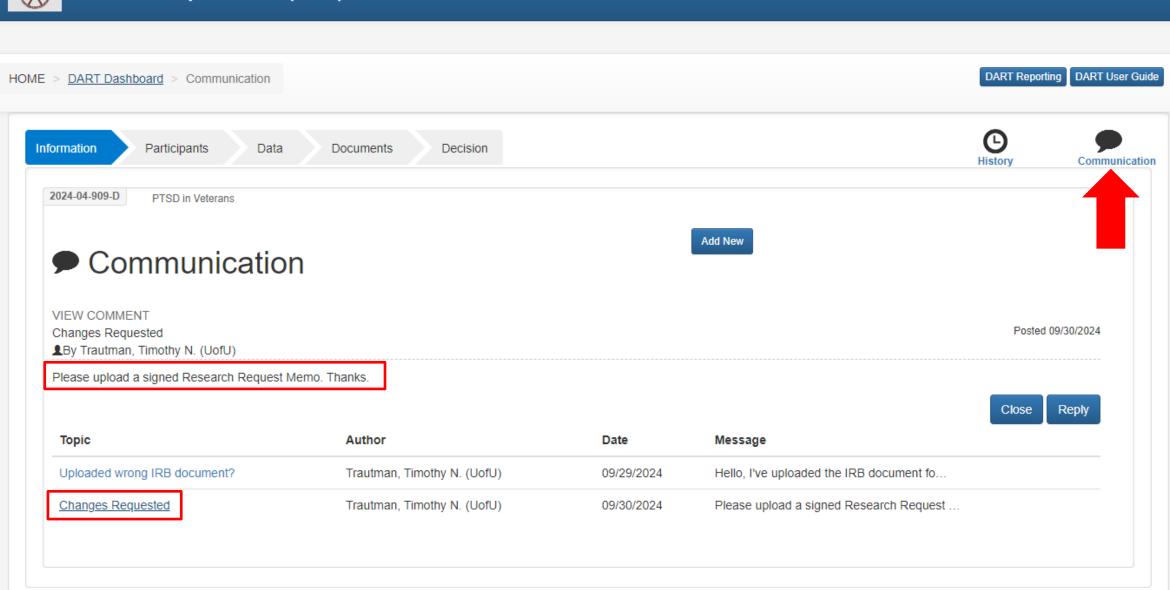
Message: Please upload a signed Research Request Memo. Thanks.

You can review this request by clicking on the following link: https://gcc02.safelinks.protection.outlook.com/?url=https%3A%2F%2Fdart.vha.med.va.gov%2Fvinci dart client%2Fdart9%2Fdashboard.html&data=05% 7C02%7C%7C4bfde0ed8b6648c603e808dce18bbe3f%7Ce95f1b23abaf45ee821db7ab251ab3bf%7C0%7C0%7C638633237503180722%7CUnknown% 7CTWFpbGZsb3d8eyJWIjoiMC4wLjAwMDAiLCJQIjoiV2luMzIiLCJBTil6lk1haWwiLCJXVCI6Mn0%3D%7C0%7C%7C%sdata=M2VemHYrsf1w0qWz00rtuSEJGoZVETVDzBtT5AFFkHY%3D&reserved=0

- Simply return to the request on your To-Do list and make the changes specified or clarify per instructions from the Reviewer.
- Most delays in request processing are due to waiting on the study to resubmit.
- See <u>Sec. 4.3 of the DART User Guide</u> on Responding to Change Requests.











Subject: 2024-04-909-D - Trautman - Research Data Access - Request Completed

NDS has approved this request. All reviews associated with this request have now been completed. This request may now be amended as needed. Please review this request below if you have questions.

Study Name: PTSD in Veterans VAIRRS Project ID: 123456

DART Tracking Number: 2024-04-909-D

You can review this request by clicking on the following link: https://gcc02.safelinks.protection.outlook.com/?url=https%3A%2F%2Fdart.vha.med.va.gov%2Fvinci\_dart\_client%2Fdart9%2Fdashboard.html&data=05%7C02%7C%7C6e2f1b8c081f45ba2f3308dce18f7cbf%7Ce95f1b23abaf45ee821db7ab251ab3bf%7C0%7C638633253591322843%7CUnknown%

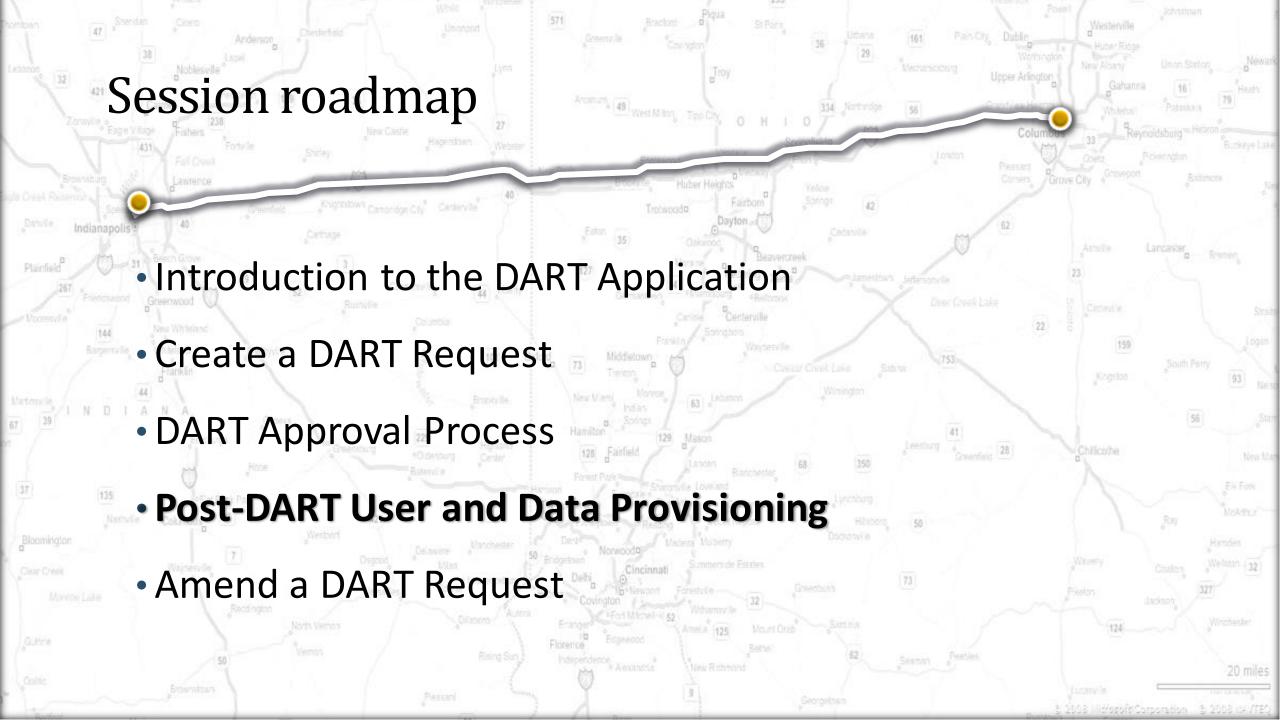
7CTWFpbGZsb3d8eyJWIjoiMC4wLjAwMDAiLCJQIjoiV2luMzIiLCJBTil6Ik1haWwiLCJXVCI6Mn0%3D%7C0%7C%7C%sdata=gIHK%2BM6Qo8wjvLgHa3eLbw%2F3%2B9Wqx552X92y8Q5gf8k%3D&reserved=0

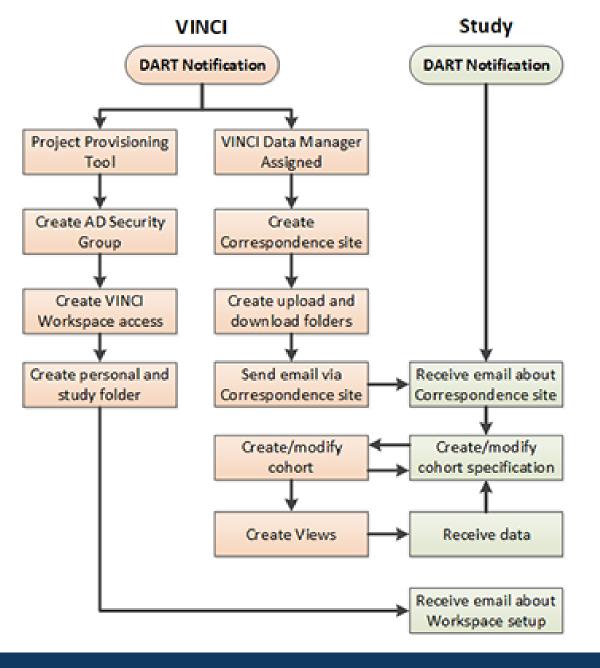
20	024-04-909-D	PTSD in Veterans (TEST)	Research Data Access	Trautman, Timothy N. (UofU)	09/29/2024	NDS (APPROVED)		<b>♣</b> Actions <b>▼</b>	
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- The moment you've patiently looked forward to is here YOU'RE APPROVED!
- All the reviews are completed and approved by the various Reviewers.
- You can now amend the request going forward as needed.



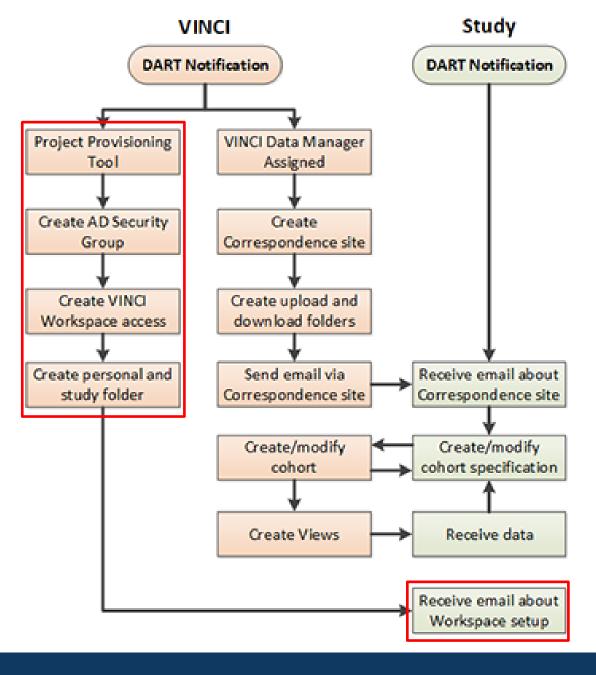






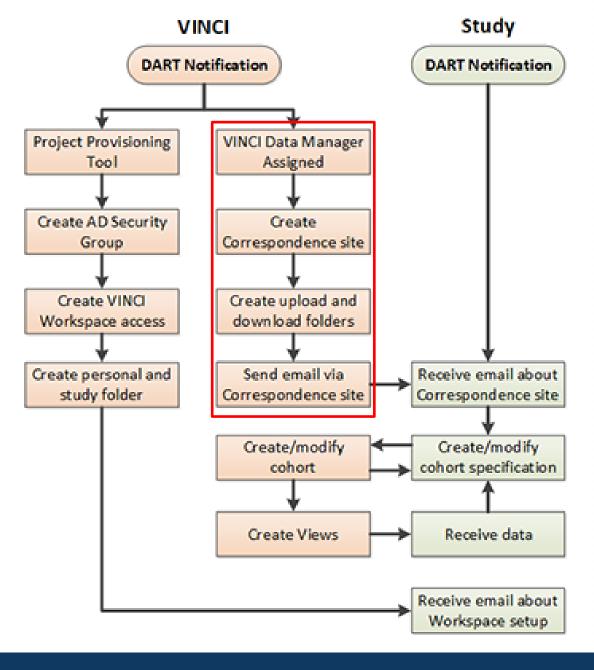
















Subject: VINCI Data Project Correspondence (Do not reply) - New message

New message has been added



8/9/2024 3:01 PM

#### Message:

Please click on the study name link above to go to the website. You may also copy and paste the URL into your browser. Please do not send a reply to the email alert.

#### Welcome to VINCI

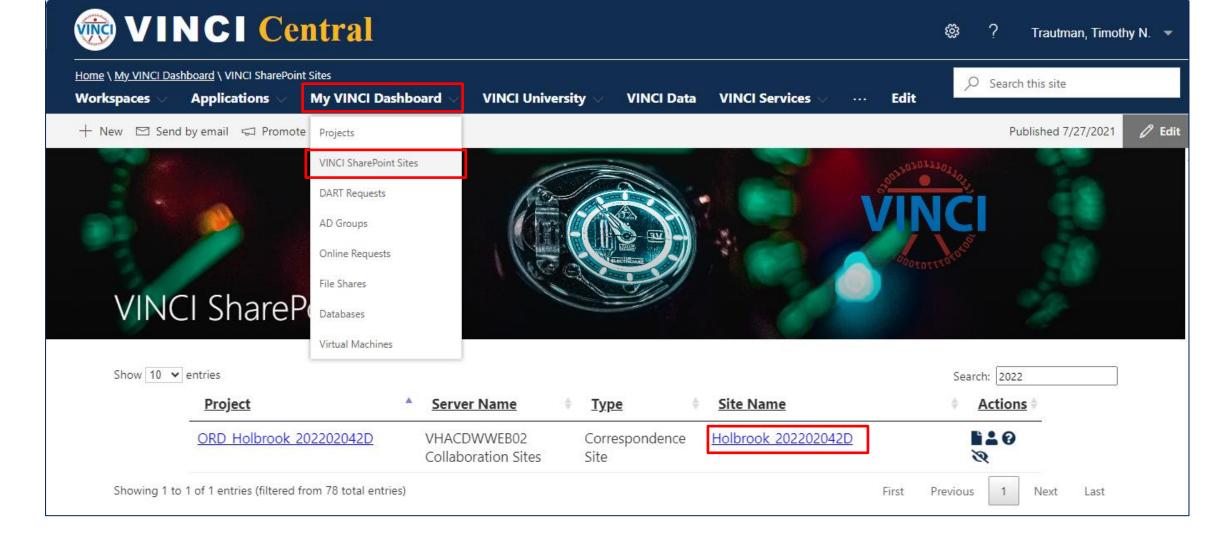
Now that your DART application has been approved, here is what needs to happen to complete your data request:

#### PLEASE DO NOT POST PI/PHI TO THIS SHAREPOINT SITE!

- All communication should be through this SharePoint site. This site has been created to facilitate and track correspondence between the VINCI Data Team and your project team.
   Please do not use the phone, email, or Microsoft Teams if possible. To post a new message, simply click the "New Item" link at the top of the Messages window.
- 2. Please watch both of the "Recommended Videos" below, especially if you are new to VINCI as they provide guidance on how to proceed and what to expect.
- For Vista based cohorts:
  - 1. We need a cohort of patients to limit the scope of the data that is provisioned to your study. A cohort can be created in multiple ways:
  - If the study already has a cohort of patient identifiers (real or scrambled SSN, etc.) and will provide them to us, the study can place the file in the project folder, inside the
    VINCI Workspace. If the file is currently outside the VINCI workspace, it can be uploaded to the project folder using the VINCI Workspace Upload Tool found on VINCI
    Central.
  - 3. If the study just has a cohort specification, please provide the cohort specification via this site. Things the study should know when providing a cohort specification:
    - 1. Please tell us EXACTLY which domains and tables to use to build the cohort.
    - 2. Please tell us the date range to use when defining the cohort.

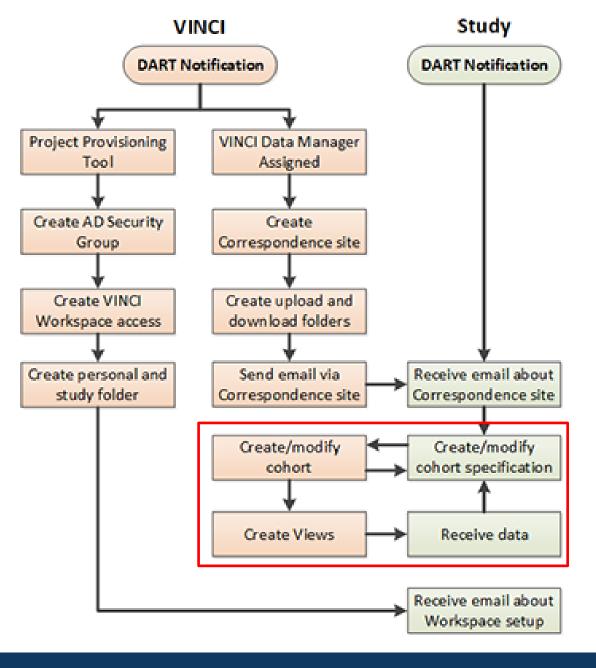






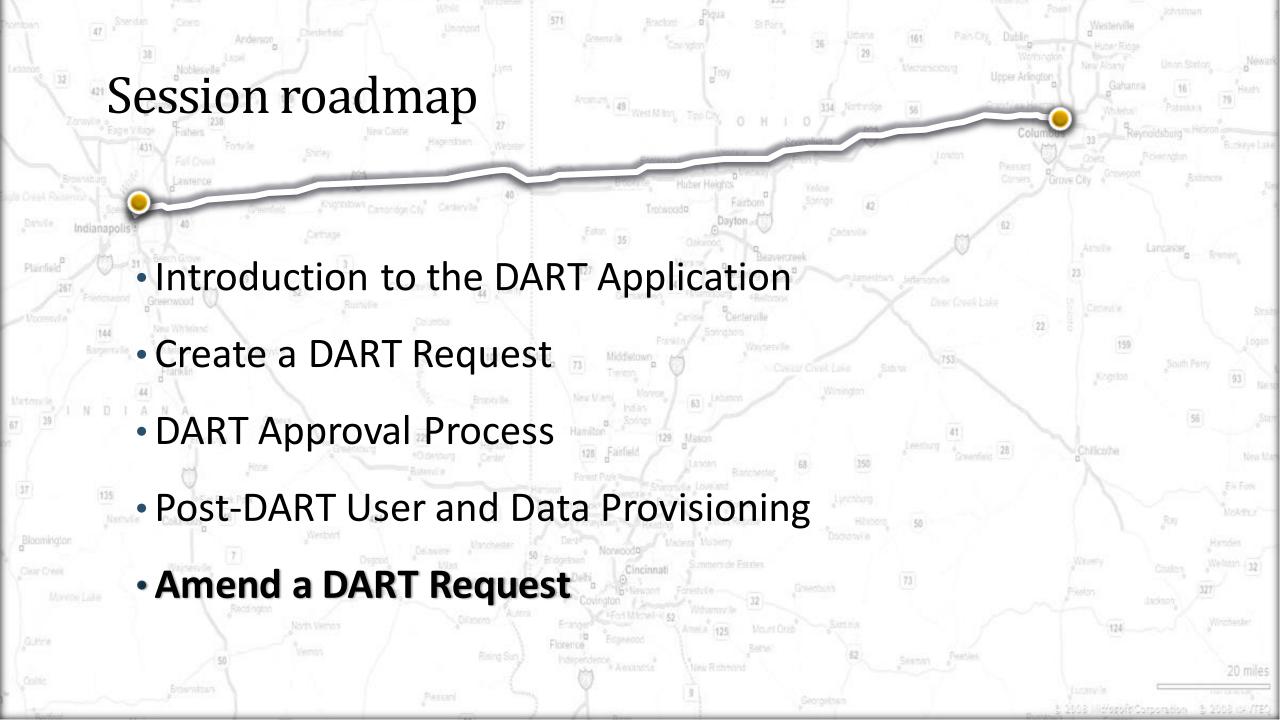












# Reasons to Amend a Request

- Adding or removing personnel. New RRM needed.
- Primary Investigator change.
- Update annual continuing IRB documents, new exemptions, RD&C check-ins and reports. You are responsible for keeping your access approval documentation on file with DART current.
- Request more data sources. You cannot uncheck a data source once approved.
- Close a study. See <u>Sec. 4.5 of the DART User Guide</u> on how.



09/29/2024



2024-04-902-D

2024-04-909-D

Linda Test Multi-site

PTSD in Veterans

process

(TEST)

Research Data Access

Research Data Access

Jannotta, Angela M.

Trautman, Timothy N.

(UofU)



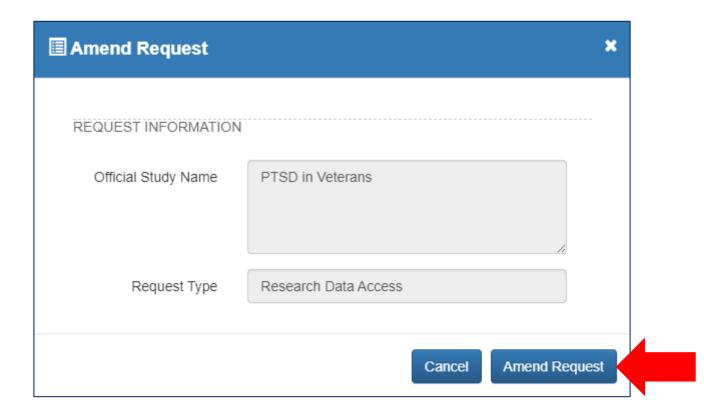
☑ View

Amend

NOT SUBMITTED

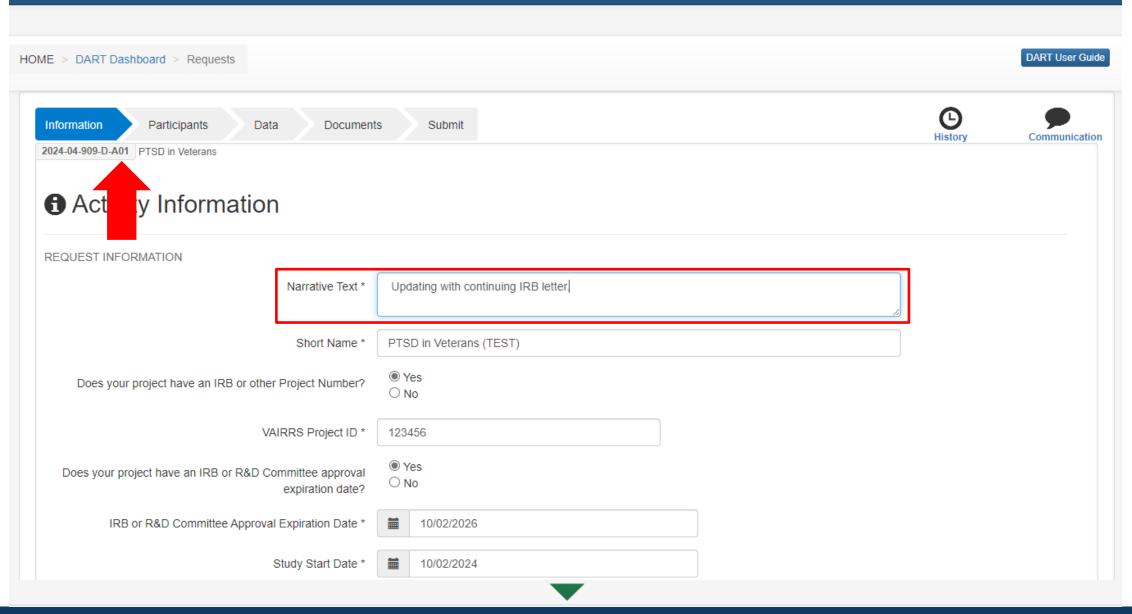
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NDS



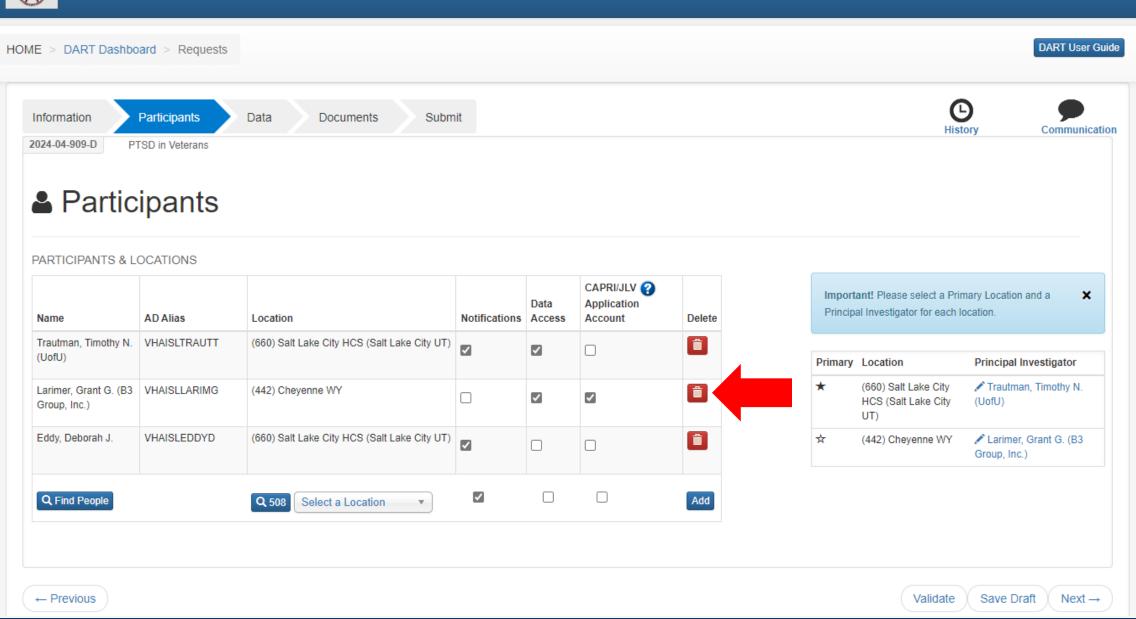
















#### ⚠ Welcome Trautman, Timothy N. (UofU)

HEALTHCARE OPERATIONS GENERATED DATA SOURCES
☐ ADUSH Enrollment Files
☐ Bereaved Family Survey
CAPRI & Joint Legacy Viewer (JLV) - Individuals needing system access are selected on the Participants page and require Real SSN approval
☐ Care Assessment Need Score (CAN Score) - Scrambled SSN approval required
☐ Clinical Assessment Reporting and Tracking (CART) CV (Disclaimer)
Corporate Data Warehouse (CDW)
✓ Production Domains
☐ Raw Domains
□ VA Millennium
☐ Text Integration Utility (TIU) Text Notes
□ COVID-19: Shared Data Resource
☐ Death Ascertainment File (DAF) – CDW SQL tables
□ DoD-VA Infrastructure for Clinical Intelligence (DAVINCI)
Geriatrics and Extended Care (GEC)
☐ GECDAC Health Care Risk Measures
✓ Home Based Primary Care (HBPC) Masterfile
□ RAI/MDS
☐ Health Economics Resource Center (HERC) Cost Data - Includes Average Cost Data, V21 and Nosos Risk Scores, and Discharge Data Sets with Subtotals
☐ Homeless Veterans Registry (Disclaimer)
☐ IVC Consolidated Data Sets - Real SSN approval required
☐ Lung Cancer Screening Demonstration Project (LCSDP) Cohort
Managerial Cost Accounting (MCA)
☐ National Data Extracts (NDEs)
☐ Web Reports - Real SSN approval required
☐ Medical SAS Files - CDW SQL tables

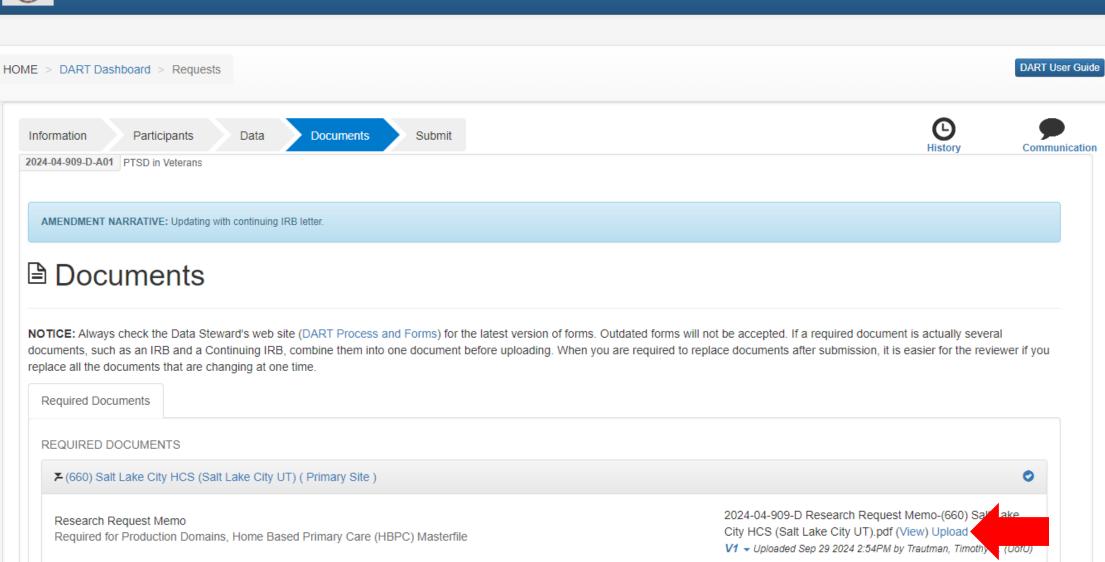


Data Access Request Tracker (DART)



2024-04-909-D IRB or Determination Letter-(660) Salt Lake

Research Study Institutional Review Board (IRB) Approval Letter or other research











# THANK YOU! Questions?







# **CONTACT INFORMATION**

# **Tim Trautman**

Health Science Specialist

Timothy. Trautman@va.gov





# DATA ACCESS CYBERSEMINAR MINISERIES

# Next session:

VA Data Access Preparatory to Research

Requirements

10/10/2024 at 2pm Eastern





# BONUS SLIDES



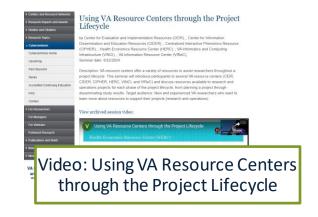


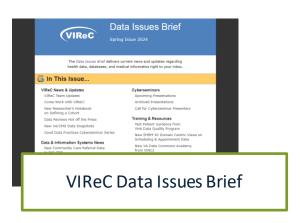
# Resources for VA Data Users

Select image to visit page





















# VIReC Cyberseminar Series Select series for more information

#### **Database & Methods**



Introduces VA data sources and information systems and their use in research.

#### **Good Data Practices**



Provides practical guidance on using data throughout the research project lifecycle.

#### **Data Access**



Reviews data access request processes and provides insight on regulatory requirements.

#### **CMS Data**



Focuses on CMS and USRDS data and methods for using these data in VA research.

### Research & EHR Synergy (6)



Helps VA researchers understand VA's transition to the Federal EHR and its impacts on VA data.

### **Partnered Research**



Explores VA data use in evaluation, implementation, and research-operations partnerships.

Interested in presenting a Cyberseminar? Contact virecseminars@va.gov







# Questions about using VA Data?

### **HSRData Listserv**

- Community knowledge sharing
- ~1,800 VA data users
- Researchers, operations, data stewards, managers
- Subscribe by visiting
   vaww.virec.research.va.gov/Support/HSRData-L.htm (VA Intranet)

# VINCI Help Desk

- Individualized support
- VINCI@va.gov

# VIReC Help Desk

- Individualized support
- Request Form:
   varedcap.rcp.vaec.va.gov/redcap/surveys/?s=KXMEN77LXK (VA Intranet)



